Models of Computation

4: Regular expressions, finite automaton

Applications

- search and replace dialogs of text editors
- search engines
- text processing utilities (e.g. sed and AWK)
- programming languages, lexical analysis
- genom analysis (genom as string)
- spam/malware filter
- •

Let V and $V' = \{\varepsilon, \cdot, +, *, (,)\}$ be disjoint alphabets. A **regular expression** over V is defined recursively as follows:

- 1. ϵ is a regular expression over V,
- 2. all $a \in V$ are regular expressions over V,
- 3. If R is a regular expression over V, then R^* is also a regular expression over V,
- 4. If Q and R are regular expressions over V, then $(Q \cdot R)$ and (Q + R) are also regular expressions over V.
 - * denotes the closure of iteration,
 - · the concatenation, and
 - + union.

Each regular expression **represents a regular language**, which is defined as:

- 1. ϵ represents the language $\{\epsilon\}$,
- 2. Letter $a \in V$ represents the language $\{a\}$,
- 3. if R is a regular expression over V, which represents the language L, then R^* represents L^* ,
- 4. if Q and R are regular expressions over V, that represent the languages L and L', then
 - $(Q \cdot R)$ represents the language LL',
 - (Q + R) represents the language $L \cup L'$.

Parentheses can be omitted when defining precedence on operations. The the usual sequence is: *, ·, +. The following regular expressions are equivalent:

- a^* is the same as $(a)^*$ and represent the language $\{a\}^*$.
- $(a + b)^*$ is the same as $((a) + (b))^*$ and represents the language $\{a, b\}^*$.
- a^* · b is the same as $((a)^*)$ · (b) and represents the language $\{a\}^*b$.
- $b + ab^*$ is the same as $(b) + ((a) \cdot (b)^*)$ and represents the language $\{b\} \cup \{a\}\{b\}^*$.
- $(a + b) \cdot a^*$ is the same as $((a) + (b)) \cdot ((a)^*)$ and represents the language $\{a, b\}\{a\}^*$.

Let *P*, *Q*, an *R* be regular expressions. Then following hold:

•
$$P + (Q + R) = (P + Q) + R$$

•
$$P \cdot (Q \cdot R) = (P \cdot Q) \cdot R$$

•
$$P + Q = Q + P$$

•
$$P \cdot (Q + R) = P \cdot Q + P \cdot R$$

•
$$(P+Q)\cdot R = P\cdot R + Q\cdot R$$

•
$$P^* = \varepsilon + P \cdot P^*$$

•
$$\varepsilon \cdot P = P \cdot \varepsilon = P$$

•
$$P^* = (\varepsilon + P)^*$$

Example:

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The language represented the regular expressions (a + b)a^* and aa^* + ba^* is the same: \{aa^n \mid n \in \mathbb{N}\} \cup \{ba^n \mid n \in \mathbb{N}\}.
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The language represented by a + ba^* is: { a, b, ba, ba^2, ba^3, ... }.
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Theorem:

- 1) Every regular expression represents a regular (3-type) language.
- 2) For every regular (3-type) language, there is a regular expression representing the language.

Proof:

1) follows from the fact that the class of regular languages \mathcal{L}_3 is closed for the regular operations.

Proof:

For 2), we show that for every regular language L generate by a grammar G = (N, T, P, S), a regular expression can be constructed, that represents L.

- Let $N = \{A_1, \ldots, A_n\}, n \ge 1, S = A_1$.
 - Each rule of G is of form $A_i \rightarrow aA_j$ or $A_i \rightarrow \epsilon$, where $a \in T$, $1 \le i, j \le n$.
- We say that a non-terminal A_m is **affected** by the derivation
 - $A_i \Rightarrow^* uA_j$ ($u \in T^*$), if A_m occurs in a intermediate string between A_i and uA_m in the derivation.

Proof (cont.):

- A derivation $A_i \Rightarrow^* uA_j$ is called **k-bounded** if $0 \le m \le k$ holds for all non-terminals A_m occurring in the derivation.
- Let $E^{k}_{i,j} = \{u \in T^* \mid \exists A_i \Rightarrow^* uA_j k \text{-bounded derivation}\}.$
- We show by induction on k, that for language $E^{k}_{i,j}$, there is a regular expression representing $E^{k}_{i,j}$, where $0 \le i,j,k \le n$.

Proof (cont.):

- k=0 (induction start):
 - For $i \neq j$, $E^{0}_{i,j}$ is eighter empty, or it consists of symbols of T ($a \in E^{0}_{i,j}$ if and only if $A_i \rightarrow aA_j \in P$.)
 - For i = j, $E^{0}_{i,j}$ consists of ε and zero or more elements of T, so $E^{0}_{i,j}$ can be represented by a regular expression.

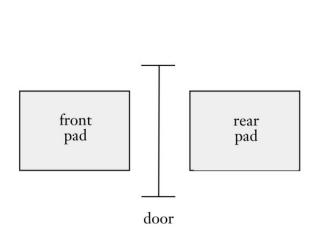
Proof (cont.):

- k-1 -> k (induction step):
 - Assume that for fixed k, $0 < k \le n$, $E^{k-1}_{i,j}$ can be represented by a regular expression.
 - Then for all *i*, *j*, *k* it holds that
 - $E^{k}_{i,j} = E^{k-1}_{i,j} + E^{k-1}_{i,k} \cdot (E^{k-1}_{k,k})^* \cdot E^{k-1}_{k,j}$
 - Therefore, $E^{k}_{i,j}$ can also be represented by a regular expression.
- Let I_{ε} be the set of indices *i* for which $A_i \rightarrow \varepsilon$.
 - Then $L(G) = \bigcup_{i \in I_{\epsilon}} E^{n}_{1,i}$ can be representd by a regular expression. The claim of the theorem follows.

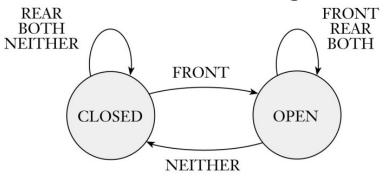
- Identifying formal languages is also possible with recognition devices, i.e. by automata.
- An automaton can process and identify words.
- Grammars use a synthesizing approach, while automata an analytic one.
- In response to a word, the automaton can either accept or reject.

- A finite automaton performs a sequence of steps in discrete time intervals
- It starts in the initial state.
- The input word is located on the input tape and the reading head is on the leftmost symbol of an input word.
- After reading a symbol, the automaton moves the reading head to one position to the right, then the state changes, regarding the state transition function.
- If the automaton has read the input, it stops (accepts or rejects the input).

Example: automatic door control



State transition diagram:



State transition table:

input signal

		NEITHER	FRONT	REAR	BOTH
state	CLOSED	CLOSED	OPEN	CLOSED	CLOSED
	OPEN	CLOSED	OPEN	OPEN	OPEN

- Application examples:
 - Automatic door control
 - Coffee machine
 - Pattern recognition
 - Markov chains pattern recognition
 - Speech processing
 - Optical character recognition
 - Predictions of share prizes in the stock exchange
 - ____

A finite automaton is a 5-tuple $A = (Q, T, \delta, q_0, F)$, where

- Q is a finite, nonempty set of states,
- T is the finite alphabet of input symbols,
- $\delta: Q \times T \rightarrow Q$ is the **state transition function**
- $q_0 \in Q$ is the **initial state** or **start state**,
- F ⊆ Q is the set of acceptance states or end states.

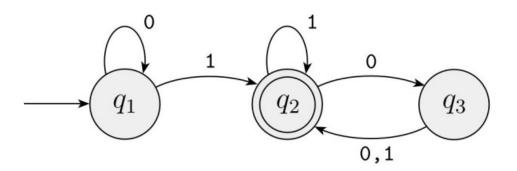
Remark:

- The function δ can be extended to a function $\hat{\delta}: Q \times T^* \to Q$ as follows:
 - $\hat{\delta}(q, \varepsilon) = q$,
 - $\hat{\delta}(q, xa) = \delta(\hat{\delta}(q, x), a)$ for all $x \in T^*$ and $a \in T$.

Example:

• Let $A = (Q, T, \delta, q_1, F)$ be a FA, where $Q = \{q_1, q_2, q_3\}, T = \{0, 1\}, F = \{q_2\}, \text{ and } \delta(q_1, 0) = q_1, \ \delta(q_1, 1) = q_2, \delta(q_2, 0) = q_3, \delta(q_2, 1) = q_2, \delta(q_3, 0) = \delta(q_3, 1) = q_2.$

State transition diagram:



State transition table:

δ	0	1	
q_1	q_1	q_2	
q_2	q 3	q ₂	
<i>q</i> ₃	q 2	q ₂	

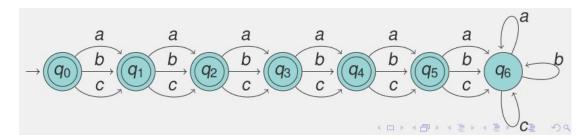
 The accepted language is L(A)={w | w conains at least one 1 and the last 1 is not followed by an odd number of 0s}

Example:

Let T = {a,b,c}.
 Define a FA, which accepts the words of length of at most 5.

Solution:

- Formaly: $A = (\{q_0, \ldots, q_6\}, \{a, b, c\}, \delta, q_0, \{q_0, \ldots, q_5\}), \delta(q_i, t) = q_{i+1}, \text{ for } i = 0, \ldots, 5, t \in \{a, b, c\}, \delta(q_6, t) = q_6, \text{ for } t \in \{a, b, c\}$
- By state transition diagram:



By state transition table:

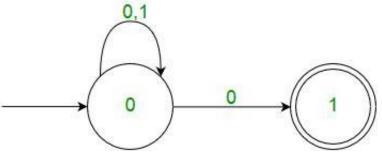
	а	b	С
$\leftrightarrows q_0$	<i>q</i> ₁	<i>q</i> ₁	q_1
$\leftarrow q_1$	q_2	q_2	q_2
$\leftarrow q_2$	q_3	q ₃	q_3
$\leftarrow q_3$	q ₄	q ₄	q_4
$\leftarrow q_4$	q 5	q 5	q 5
$\leftarrow q_5$	9 6	q 6	q 6
9 6	q 6	q 6	q 6

FA – accepted language

- The **language accepted/recognized** by the FA $A = (Q, T, \delta, Q_0, F)$ is: $L(A) = \{u \in T^* \mid q_0u \Rightarrow^* p \text{ for some } q_0 \in Q_0 \text{ and } p \in F\}$
- For a deterministic FA A, there is one single start state $Q_0 = \{q_0\}$. The language accepted by DFA A is: $L(A) = \{u \in T^* \mid q_0u \Rightarrow^* p \text{ for some } p \in F\}$

Deterministic and non-deterministic finite automata

- **Deterministic finite automaton (DFA)**: Function δ is single-valued, i.e. \forall $(q, a) \in Q \times T$ there is exactly one state s, s.t. $\delta(q, a) = s$.
- **Nondeterministic finite automaton (NFA)**: Function δ is multi-valued, i.e. $\delta: Q \times T \rightarrow 2^{Q}$. Multiple initial states are allowed (the set of initial states $Q_0 \subseteq Q$). It is allowed that $\delta(q, a) = \emptyset$ for some (q,a), i.e. the machine gets stuck. Null (or ε) move is allowed, i.e. it can move forward without reading symbols.



NFA example

Deterministic and non-deterministic finite automata

- New features of non-determinism
 - Multiple paths are possible (multiple choises at each step).
 - ε-transition is a "free" move without reading input.
 - Accept input if <u>some</u> path leads to an accepting state.

Deterministic and non-deterministic finite automata

- Alternative notation:
- State transitions can also be given in the form $qa \rightarrow p$, where $p \in \delta(q, a)$.
- Let M_{δ} be set of rules of the state transition of an NFA $A = (Q, T, \delta, Q_0, F)$.
- If M_δ contains exactly one rule qa → p for each pair (q,a), then the FA is deterministic, oherwise nondeterministic.

FA - reduction

- Let $A = (Q, T, \delta, Q_0, F)$ be a FA and $u, v \in QT^*$ words. The FA A **reduces** the u **in one step** (**directly**) to v (notation: $u \Rightarrow_A v$, or short: $u \Rightarrow v$), if there are a rule $qa \rightarrow p \in M_\delta$ (i.e. $\delta(q, a) = p$) and a word $w \in T^*$, s.t. u = qaw and v = pw hold.
- The FA $A = (Q, T, \delta, Q_0, F)$ **reduces** $u \in QT^*$ to $v \in QT^*$ (notation: $u \Rightarrow_A^* v$, or short: $u \Rightarrow^* v$, if
 - either u = v,
 - or \exists a word $z \in QT^*$, s.t. $u \Rightarrow^* z$ and $z \Rightarrow v$.
- Remark: ⇒* is the reflexive, transitive closure of ⇒.

FA – accepted language

- The **language accepted/recognized** by the FA $A = (Q, T, \delta, Q_0, F)$ is: $L(A) = \{u \in T^* \mid q_0u \Rightarrow^* p \text{ for some } q_0 \in Q_0 \text{ and } p \in F\}$
- For a DFA A, there is one single start state $Q_0 = \{q_0\}$. The language accepted by DFA A is: $L(A) = \{u \in T^* \mid q_0u \Rightarrow^* p \text{ for some } p \in F\}$

Theorem: For all NFA $A = (Q, T, \delta, Q_0, F)$ a DFA $A' = (Q', T, \delta', q'_0, F')$ can be constructed, s.t. L(A) = L(A') holds.

- Idea: DFA keeps track of the subset of possible states in NFA
- Remark: In worst case $|Q'| = 2^{|Q|}$.

- Let Q'= 2^Q be the set of all subsets of the set Q.
 (the number of elements of Q' is 2^{|Q|}).
- Let $\delta': Q' \times T \to Q'$ be the function defined as: $\delta'(q', a) = \bigcup_{q \in q'} \delta(q, a)$.
- Let $q'_0 = Q_0$ and $F' = \{q' \in Q' \mid q' \cap F \neq \emptyset\}$
- To prove $L(A) \subseteq L(A')$, we prove the Lemma 1:

Lemma 1:

For all $p,q \in Q$, $q' \in Q'$ és $u,v \in T^*$, if $qu \Rightarrow^*_A pv$ and $q \in q'$, then $\exists p' \in Q'$, s.t. $q'u \Rightarrow^*_{A'} p'v$ and $p \in p'$.

- Induction over the number of reduction steps n in $qu \Rightarrow *_A pv$.
- For n=0: the claim holds trivially, p'=q'.

Proof (Lemma 1, cont.):

- For $n \rightarrow n+1$: Assume, the claim holds for all reductions of $\leq n$ steps.
- Let $qu \Rightarrow^*_A pv$ be a reduction of n+1 steps. Then for some $q_1 \in Q$ and $u_1 \in T^*$ holds that $qu \Rightarrow_A q_1u_1 \Rightarrow^*_A pv$.
- Therefore, $\exists a \in T$, s.t. $u = au_1$ and $q_1 \in \delta(q, a)$.
- Since $\delta(q, a) \subseteq \delta'(q', a)$, for $q \in q'$, q'_1 can be choosen as $q'_1 = \delta'(q', a)$.
- Consequently, $q'u \Rightarrow_{A'} q'_1u_1$, where $q_1 \in q'_1$.
- By the induction assumption, $\exists p' \in Q'$, s.t. $q'_1u_1 \Rightarrow^*_{A'} p'v$ and $p \in p'$, which proves the claim. \square

Proof (Theorem, cont.):

- Let $u \in L(A)$, i.e. $q_0u \Rightarrow^*_A p$, for some $q_0 \in Q_0$, $p \in F$.
- By Lemma 1, $\exists p'$, s.t. $q'_0u \Rightarrow *_{A'}p'$, where $p \in p'$.
- By definition of F', $p \in p'$ and $p \in F$ imply that $p' \in F'$, which proves $L(A) \subseteq L(A')$.
- For $L(A') \subseteq L(A)$ we prove Lemma 2.

Lemma 2:

- For all p', $q' \in Q'$, $p \in Q$ and $u, v \in T^*$,
 - if $q'u \Rightarrow *_{A'} p'v$ and $p \in p'$,
 - then $\exists q \in Q$, s.t. $qu \Rightarrow *_A pv$ and $q \in q'$.

- Induction over the number of steps n in the reduction.
- For n = 0: The claim holds trivially.

Proof (Lemma 2, cont.):

- For $n \rightarrow n+1$: Assume, the claim holds for all reductions of $\leq n$ steps.
- Let $q'u \Rightarrow^*_{A'} p'v$ be a reduction of n+1 steps. Then $q'u \Rightarrow^*_{A'} p'_1v_1 \Rightarrow_{A'} p'v$, where $v_1 = av$, for some $p'_1 \in Q'$ and $a \in T$.
- Then, $p \in p' = \delta'(p'_1, a) = \bigcup_{p_1 \in p'_1} \delta(p_1, a)$.
- Consequently, $\exists p_1 \in p'_1$, s.t. $p \in \delta(p_1, a)$.
- For this p_1 , it holds that $p_1v_1 \Rightarrow_A pv$.
- By the induction assumption, $qu \Rightarrow^*_A p_1v_1$, for some $q \in q_0$, which implies the claim. \square

Proof (Theorem, cont.):

- Let $q'_0u \Rightarrow *_{A'}p'$ and $p' \in F$.
- By the definition of F', $\exists p \in p'$, s.t. $p \in F$.
- Then, by Lemma 2, for some $q_0 \in q'_0$, holds that $q_0 u \Rightarrow *_A p$.
- This proves the claim of the theorem. \square

NFA - DFA

Example:

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• Let A = (Q, T, \delta, Q_0, F) be a NFA, where Q = \{q_0, q_1, q_2\}, T = \{a, b\}, Q_0 = \{q_0\}, F = \{q_2\}. \delta is defined as: \delta(q_0, a) = \{q_0, q_1\}, \delta(q_0, b) = \{q_1\}, \delta(q_1, a) = \emptyset, \delta(q_1, b) = \{q_2\}, \delta(q_2, a) = \{q_0, q_1, q_2\}, \delta(q_2, b) = \{q_1\}. Construct a DFA A' quivalent with A.
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Solution:

• DFA: $A' = (Q', T, \delta', q'_0, F')$, where $Q' = \{\emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\}, q'_0 = \{q_0\}, F' = \{\{q_2\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\},$ next slide

NFA - DFA

Example (cont.):

 $\delta: \quad \delta(q_0, a) = \{q_0, q_1\}, \quad \delta(q_0, b) = \{q_1\}, \\ \delta(q_1, a) = \emptyset, \quad \delta(q_1, b) = \{q_2\}, \\ \delta(q_2, a) = \{q_0, q_1, q_2\}, \quad \delta(q_2, b) = \{q_1\}.$

 $\delta': \qquad \delta'((\emptyset, a) = \emptyset, \qquad \qquad \delta'((\emptyset, b) = \emptyset, \\ \delta'((\{q_0\}, a) = \{q_0, q_1\}, \qquad \qquad \delta'((\{q_0\}, b) = \{q_1\}, \\ \delta'((\{q_1\}, a) = \emptyset, \qquad \qquad \delta'((\{q_1\}, b) = \{q_2\}, \\ \delta'((\{q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_2\}, b) = \{q_1\}, \\ \delta'((\{q_0, q_1\}, a) = \{q_0, q_1\}, \qquad \delta'((\{q_0, q_1\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_0, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_1, q_2\}, \qquad \delta'((\{q_1, q_2\}, b) = \{q_1, q_2\}, \\ \delta'((\{q_1, q_2\}, a) = \{q_1, q_2\}, \qquad \delta'((\{q_1, q_$

 $\delta'((\{q_0,q_1,q_2\},a)=\{q_0,q_1,q_2\}, \quad \delta'((\{q_0,q_1,q_2\},b)=\{q_1,q_2\}.$

Corollaries

Corollary 1:

• The class of regular languages \mathcal{L}_3 is closed for the complement operation.

- Let L be a language, recognized by a FA $A = (Q,T,\delta,q_0,F)$
- Then $\overline{L} = T^* L$ can be recognized by an FA $A = (Q, T, \delta, q_0, Q F)$

Corollaries

Corollary 2:

• The class of regular languages \mathcal{L}_3 is closed for the intersection operation.

- We know, that \mathcal{L}_3 is closed for the union operation.
- $L_1 \cap L_2 = \overline{L}_1 \cup \overline{L}_2$.
- By Corollary 1, the claim follows.

Corollaries

Corollary 3:

 It is decidable, whether two regular grammars generate the same language

- Let G_1 and G_2 be regular grammars, generating the languages L_1 and L_2 , respectively
- The language $L_3 = (L_1 \cap \overline{L}_2) \cup (\overline{L}_1 \cap L_2)$ is also regular.
- Consequently, there exists a regular grammar G_3 , which generates L_3 .
- However, $L_1 = L_2$ holds if and only if $L_3 = \emptyset$, which is decidable for all context-free grammars G_3 (Thus, for all regular grammars).

FA – Myhill-Nerode Theorem

- Let L be a language over the alphabet T. The relation E_L
 induced by language L is a binary relation on T*, for which it
 holds that
 - $\forall u, v \in T^*$, uE_Lv , if and only if $\nexists w \in T^*$, s.t. exatly one of the words uw and vw is an element of L (i.e. $\forall w \in T^* : uw \in L$ if and only if $vw \in L$).
- E_L is an **equivalence relation** and it is **right-invariant**. (Right-invariant: if uE_Lv , then uwE_Lvw holds for every word $w \in T^*$.)
- The **index of the** E_L is the number of its equivalence classes.

Theorem (Myhill-Nerode): $L \subseteq T^*$ can be recognized by a deterministic FA if and only if E_L has a finite index.

FA – Myhill-Nerode Theorem

Theorem (Myhill-Nerode): $L \subseteq T^*$ can be recognized by a DFA if and only if E_L has a finite index.

 This index is equal to the number of states in the minimal DFA recognizing L.

The DFA A has a minimum number of states
 (minimal DFA), if there is no DFA A', which
 recognizes the same language as A, but the
 number of states of A' is smaller than the number
 of state of A.

Theorem: The minimal DFA accepting the regular language *L* is unique, up to isomorphism.

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- Let $A = (Q, T, \delta, q_0, F)$ be a DFA. Define a relation $R \subseteq Q \times Q$, s.t. pRq if \forall input word $x \in T^*$ it holds that $px \Rightarrow^*_A r$ if and only if $qx \Rightarrow^*_A r'$ for some $r, r' \in F$ states. (r = r') is possible).
- States p and q are **distinguishable** if $\exists x \in T^*$, s.t. either $px \Rightarrow^*_A r$, $r \in F$, or $qx \Rightarrow^*_A r'$, $r' \in F$, but both reductions are not possible. Otherwise, p and q are **indistinguishable**.
- If p and q are indistinguishable, then $\delta(p, a) = s$ and $\delta(q, a) = t$ are indistinguishable for any $a \in T$.
- If $\delta(p, a) = s$ and $\delta(q, a) = t$ are distinguishable for $x \in T^*$, then they are distinguishable also for ax.

- Let $A = (Q, T, \delta, q_0, F)$ be a DFA. State q is **reachable** from the initial state if there is a reduction $q_0x \Rightarrow^* q$, where x is some word over T.
- The DFA $A = (Q, T, \delta, q_0, F)$ is **connected**, if all its states are reachable from the initial state.
- We define the **set** H **of reachabele states** as follows: Let $H_0 = \{q_0\}$, $H_{i+1} = H_i \cup \{r \mid \delta(q, a) = r, q \in H_i, a \in T\}$, i = 1, 2, ...Then $\exists k \geq 0 : H_k = H_l$, for all $l \geq k$. Let $H = H_k$.
- We define the DFA $A' = (Q', T, \delta', q_0, F')$ with $Q' = H, F' = F \cap H$ and $\delta' : H \times T \rightarrow H$ s.t. $\delta'(q, a) = \delta(q, a)$, if $q \in H$.
- It can be shown that A' is connected and accepts the same language as A. Furthermore, A' is the largest connected subautomaton of A.

- In order to compute the minimal DFA,
 - we determine whether the automaton is connected or not.
 - if it is not connected, then we make it connected and consider the largest connected subautomaton. In the following, we assume, that it is connected.
 - then we partition (according to distinguishability, states become divided into equivalence classes

Step 1:

- Divide the set of states into two partitions: F and Q F.
 - The states in F can be distinguished from the states in Q F (by the empty word).
- Repeat splitting of the partitions (Step 2) into additional partitions as long as the number of partitions remains the same.

Step 2:

- This is done as follows: Consider an arbitrary partition P of states. Take an input symbol a and consider $\delta(p, a)$ for each state $p \in P$.
 - If the obtained states belong to different partitions, then split *P* into as many new partitions as arosing in this way.
- Perform this procedure for each input symbol and each partition, until no new partition is created.

Step 3:

- Determine the DFA with the minimum number of states components.
 - For each partition B_i , consider a representative state b_i .
 - Construct a DFA $A' = (Q', T, \delta', q_0, F')$, where
 - Q' is set of representatives of the partitions,
 - q'_0 is the representative of the partition containing q_0 ,
 - $\delta'(b_i, a) = b_j$, if $\exists q_i \in B_i$ and $q_j \in B_j$, s.t. $\delta(q_i, a) = q_j$.
 - $F' = \{b_f\}$ is the representative of the partition that contains the elements of F.