# Databases-1 Lecture-01 

Introduction, Relational Algebra

## Information, 2018 Spring

- About me: Hajas Csilla, Mathematician, PhD, Senior lecturer, Dept. of Information Systems, Eötvös Loránd University of Budapest
- Databases-1 Lecture: Friday 10:15-11:45 ELTE South Building, 0-220 Karteszi Room
- Website of the course: http://sila.hajas.elte.hu/edu18feb/DB1L.html


## Textbook

## - A First Course in Database Systems (3rd ed.) by Jeff Ullman and Jennifer Widom

same material and sections as

- Database Systems: The Complete Book (2nd ed) by Garcia-Molina, Jeff Ullman and Jennifer Widom



## Topics of the semester

- Relational Data Model
- Core and Extended Relational Algebra
- SQL Query and Modification
- Constraints, Triggers and Views
- PSM, Oracle PL/SQL
- Datalog, Recursion
- Entity-Relationship Model
- Design of Relational Databases


## What is a Data Model?

- 1. Mathematical representation of data
- 2. Operation on data
- 3. Constraints


## Relational Data Model

## - A relation is a table



## Types and schemas

- Relation schema = relation name + attributes, in order (+ types of attributes).
- Example: Beers(name, manf) or Beers(name: string, manf: string)
- Database = collection of relations.
- Database schema = set of all relation schemas in the database.


## Why relations?

- Very simple model.
- Often matches how we think about data.
- Abstract model that underlies SQL, the most important database language today.


## Relational model

- Logical level:
- The relations are considered as tables.
- The tables has unique names
- The colums address the attributes
- The rows represent the records
- Rows can be interchanged, the order of rows is irrelevant
- Physical level:
- The relations are stored in a file structure


## Examples

Example 1

| $\mathbf{A}$ | $\mathbf{B}$ | $\mathbf{C}$ |
| :---: | :---: | :---: |
| $a$ | $b$ | $c$ |
| $d$ | $a$ | $a$ |
| $c$ | $b$ | $d$ |

Example 3

| $\mathbf{A}$ | $\mathbf{B}$ | $\mathbf{C}$ |
| :---: | :---: | :---: |
| $c$ | $b$ | $d$ |
| $d$ | $a$ | $a$ |
| $a$ | $b$ | $c$ |

Example 2

| $\mathbf{B}$ | $\mathbf{C}$ | $\mathbf{A}$ |
| :---: | :---: | :---: |
| $b$ | $c$ | $a$ |
| $a$ | $a$ | $d$ |
| $d$ | $d$ | $c$ |

Example 4

| $\mathbf{A}$ | $\mathbf{B}$ | $\mathbf{C}$ |
| :---: | :---: | :---: |
| $c$ | $b$ | $d$ |
| $c$ | $b$ | $d$ |
| $a$ | $b$ | $c$ |

In ex. 1 and ex. 2 the columns are interchanged but the same relation

In ex. 1 and ex. 3 the same tuples are represented in different orders but these are the same relations too.

Ex. 4 is not a relation

## Defining a Database Schema

- A database schema comprises declarations for the relations ("tables") of the database.
- Many other kinds of elements may also appear in the database schema, including views, constraints, triggers, indexes, etc.


## Declaring a Relation

- Simplest form is:
- CREATE TABLE <name> (<list of elements>);

$$
\begin{array}{cl}
\text { CREATE TABLE } & \text { Sells ( } \\
\text { bar } & \text { CHAR (20), } \\
\text { beer } & \operatorname{VARCHAR}(20), \\
\text { price ; } & \text { REAL }
\end{array}
$$

## Elements of Table Declarations

- The principal element is a pair consisting of an attribute and a type.
- The most common types are:
- INT or INTEGER (synonyms).
- REAL or FLOAT (synonyms).
- $\operatorname{CHAR}(n)=$ fixed-length string of $n$ characters.
- $\operatorname{VARCHAR}(n)=$ variable-length string of up to $n$ characters.
- DATE is a type, and the form of a date value is: Example: 'yyyy-mm-dd' DATE '2002-09-30'


## Example: Create Table

CREATE TABLE Sells (
bar CHAR (20),
beer VARCHAR(20),
price REAL
);

## Other Declarations for Attributes

- Declaration for an attributes is a pair consisting of an attribute and a type.
Other declarations we can make for an attribute are:

1. NOT NULL means that the value for this attribute may never be NULL.
2. DEFAULT <value> says that if there is no specific value known for this attribute's component in some tuple, use the stated <value>.

## Example: Default Values

CREATE TABLE Drinkers (
name CHAR (30) NOT NULL,
addr CHAR (50) DEFAULT '3 Sesame St.', phone CHAR (16)
) ;

## Effect of Defaults -- 1

- Suppose we insert the fact that Sally is a drinker, but we know neither her address nor her phone.
- An INSERT with a partial list of attributes makes the insertion possible:

```
INSERT INTO Drinkers (name)
VALUES ('Sally') ;
```


## Effect of Defaults -- 2

- But what tuple appears in Drinkers?

| name <br> 'Sally' | addr <br> '123 Sesame St' | phone |
| :--- | :--- | :--- |
| NULL |  |  |

- If we had declared phone NOT NULL, this insertion would have been rejected.


## Remove a relation from schema

- Remove a relation from the database schema by:
, DROP TABLE <name>;
- Example:

DROP TABLE Sells;

## Query Languages: Relational Algebra

- What is an "Algebra"?
- Mathematical system consisting of:
- Operands --- variables or values from which new values can be constructed.
- Operators --- symbols denoting procedures that construct new values from given values.


## Core Relational Algebra

- Union, intersection, and difference.
- Usual set operations, but require both operands have the same relation schema.
- Selection: picking certain rows.
- Projection: picking certain columns.
- Products and joins: compositions of relations.
- Renaming of relations and attributes.


## Union, intersection, difference

- To apply these operators the relations must have the same attributes.
- Union (R1 R2): all tuples from R1 or R2
- Intersection (R1 $\cap$ R2): common tuples from R1 and R2
- Difference (R11R2): tuples occuring in R1 but not in R2


## Example

Relation Sells1:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |
| Sue's | Bud | 2.50 |

Sells1 $\cup$ Sells2:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |
| Sue's | Bud | 2.50 |
| Jack's | Bud | 2.75 |

Relation Sells2:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Jack's | Bud | 2.75 |

Sells1 $\cap$ Sells2:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |

Sells2 $\backslash$ Sells1:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Jack's | Bud | 2.75 |

## Selection

- R1 := $\sigma_{C}(\mathrm{R} 2)$
- $C$ is a condition (as in "if" statements) that refers to attributes of R2.
- R1 is all those tuples of R2 that satisfy $C$.


## Example

## Relation Sells:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |
| Sue's | Bud | 2.50 |
| Sue's | Miller | 3.00 |

JoeMenu := $\sigma_{\text {bar="Joe's's" }}$ (Sells):

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |

## Projection

- R1: $=\pi_{L}(\mathrm{R} 2)$
- $L$ is a list of attributes from the schema of R2.
- R1 is constructed by looking at each tuple of R2, extracting the attributes on list $L$, in the order specified, and creating from those components a tuple for R1.
- Eliminate duplicate tuples, if any.


## Example

## Relation Sells:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |
| Sue's | Bud | 2.50 |
| Sue's | Miller | 3.00 |

Prices := $\Pi_{\text {beer,price }}($ Sells $):$

| Beer | Price |
| :---: | :---: |
| Bud | 2.50 |
| Miller | 2.75 |
| Miller | 3.00 |

## Product

- R3 := R1 $\times$ R2
- Pair each tuple t1 of R1 with each tuple t2 of R2.
- Concatenation t1t2 is a tuple of R3.
- Schema of R3 is the attributes of R1 and R2, in order.
- But beware attribute $A$ of the same name in R1 and R2: use R1.A and R2.A.


## Example: R3=R1 x R2

- R1

| $\mathbf{A}$ | $\mathbf{B}$ |
| :---: | :---: |
| 1 | 2 |
| 3 | 4 |

- R2

| $\mathbf{B}$ | $\mathbf{C}$ |
| :---: | :---: |
| 5 | 6 |
| 7 | 8 |
| 9 | 10 |

$$
\mathrm{R} 3=\mathrm{R} 1 \times \mathrm{R} 2
$$

| $\mathbf{A}$ | R1.B | R2.B | $\mathbf{C}$ |
| :---: | :---: | :---: | :---: |
| 1 | 2 | 5 | 6 |
| 1 | 2 | 7 | 8 |
| 1 | 2 | 9 | 10 |
| 3 | 4 | 5 | 6 |
| 3 | 4 | 7 | 8 |
| 3 | 4 | 9 | 10 |

## Theta-Join

- R3 := R1 $\bowtie_{c}$ R2
- Take the product R1 * R2.
- Then apply $\sigma_{C}$ to the result.
- As for $\sigma, C$ can be any boolean-valued condition.
- Historic versions of this operator allowed only A theta B, where theta was $=,<$, etc.; hence the name "theta-join."


## Example

Sells:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |
| Sue's | Bud | 2.50 |
| Sue's | Miller | 3.00 |

## Bars:

| Name | Address |
| :---: | :---: |
| Joe's | Maple st. |
| Sue's | River rd. |

Barinfo = Sells $\bowtie$ Sells.bar = Bars.name Bars

| Bar | Beer | Price | Name | Address |
| :---: | :---: | :---: | :---: | :---: |
| Joe's | Bud | 2.50 | Joe's | Maple st. |
| Joe's | Miller | 2.75 | Joe's | Maple st. |
| Sue's | Bud | 2.50 | Sue's | River rd. |
| Sue's | Miller | 3.00 | Sue's | River rd. |

## Natural Join

- A frequent type of join connects two relations by:
- Equating attributes of the same name, and
- Projecting out one copy of each pair of equated attributes.
- Called natural join.
- Denoted R3 := R1 $\bowtie$ R2.


## Example

## Sells:

| Bar | Beer | Price |
| :---: | :---: | :---: |
| Joe's | Bud | 2.50 |
| Joe's | Miller | 2.75 |
| Sue's | Bud | 2.50 |
| Sue's | Miller | 3.00 |

## Bars:

Barinfo= Sells $\bowtie$ Bars

| Bar | Beer | Price | Address |
| :---: | :---: | :---: | :---: |
| Joe's | Bud | 2.50 | Maple st. |
| Joe's | Miller | 2.75 | Maple st. |
| Sue's | Bud | 2.50 | River rd. |
| Sue's | Miller | 3.00 | River rd. |

## Renaming

- The RENAME operator gives a new schema to a relation.
- $R 1:=\rho_{1(A 1, \ldots, A n)}(R 2)$ makes $R 1$ be a relation with attributes A1,...,An and the same tuples as R2.
- Simplified notation: R1(A1, ..,An) := R2.


## Example

## Bars:

| Name | Address |
| :---: | :---: |
| Joe's | Maple st. |
| Sue's | River rd. |

R(Bar, Address) := Bars

| Bar | Address |
| :---: | :---: |
| Joe's | Maple st. |
| Sue's | River rd. |

## Building Complex Expressions

- Algebras allow us to express sequences of operations in a natural way
- Example: in arithmetic --- $(x+4)^{\star}(y-3)$.
- Relational algebra allows the same.
- Three notations, just as in arithmetic:

1. Sequences of assignment statements.
2. Expressions with several operators.
3. Expression trees.

## Sequences of Assignments

- Create temporary relation names.
- Renaming can be implied by giving relations a list of attributes.
- Example: R3 := R1 $\bowtie_{c}$ R2 can be written: R4:=R1xR2
$R 3:=\sigma_{C}($ R4)


## Expressions in a Single Assignment

- Example: the theta-join R3 := R1 $\bowtie{ }_{c}$ R2 can be written: R3 := $\sigma_{C}$ (R1 x R2)
- Precedence of relational operators:

1. Unary operators --- select, project, rename --have highest precedence, bind first.
2. Then come products and joins.
3. Then intersection.
4. Finally, union and set difference bind last.

- But you can always insert parentheses to force the order you desire.


## Expression Trees

- Leaves are operands --- either variables standing for relations or particular, constant relations.
- Interior nodes are operators, applied to their child or children.


## Example

- Using the relations Bars(name, address) and Sells(bar, beer, price), find the names of all the bars that are either on Maple St. or sell Bud for less than \$3.


## As a Tree:


$\sigma_{\mathrm{addr}}=$ ' Maple St.'

Bars
$\sigma_{\text {price }}<3$ AND beer= 'Bud ${ }^{\prime}$

## Schema-Defining Rules

- For union, intersection, and difference, the schemas of the two operands must be the same, so use that schema for the result.
- Selection: schema of the result is the same as the schema of the operand.
- Projection: list of attributes tells us the schema.
- Product, Theta-join: the schema is the attributes of both relations.
- Use R.A, etc., to distinguish two attributes named A.
- Natural join: use attributes of both relations.
- Shared attribute names are merged.
- Renaming: the operator tells the schema.


## Relational algebra: Monotonity

- Monotone non-decreasing expression:
- applied on more tuples, the result contains more tuples
- Formally if $\mathrm{Ri} \subseteq$ Si for every $\mathrm{i}=1, \ldots, \mathrm{n}$, then $E(R 1, \ldots, R n) \subseteq E(S 1, \ldots, S n)$.
- Difference is the only core expression which is not monotone:

| $\mathbf{A}$ | $\mathbf{B}$ |
| :---: | :---: |
| 1 | 0 |
| 2 | 1 |


$-$| $\mathbf{A}$ | $\mathbf{B}$ |
| :---: | :---: |
| 1 | 0 |


$\not \subset$| $\mathbf{A}$ | $\mathbf{B}$ |
| :---: | :---: |
| 1 | 0 |
| 2 | 1 |


$-$| $\mathbf{A}$ | $\mathbf{B}$ |
| :---: | :---: |
| 1 | 0 |
| 2 | 1 |

