# Distributed Systems Principles and Paradigms

#### Maarten van Steen

VU Amsterdam, Dept. Computer Science Room R4.20, steen@cs.vu.nl

# Chapter 07: Consistency & Replication

Version: February 21, 2011



vrije Universiteit amsterdam

#### Contents

Chapter
01: Introduction
02: Architectures
03: Processes
04: Communication
05: Naming
06: Synchronization
07: Consistency & Replication
08: Fault Tolerance
09: Security
10: Distributed Object-Based Systems
11: Distributed File Systems
12: Distributed Web-Based Systems
13: Distributed Coordination-Based Systems

#### 2/42

Consistency & Replication

# Consistency & replication

- Introduction (what's it all about)
- Data-centric consistency
- Client-centric consistency
- Replica management
- Consistency protocols

1/42

# Performance and scalability

#### Main issue

To keep replicas consistent, we generally need to ensure that all conflicting operations are done in the the same order everywhere

7.1 Introduction

#### **Conflicting operations**

From the world of transactions:

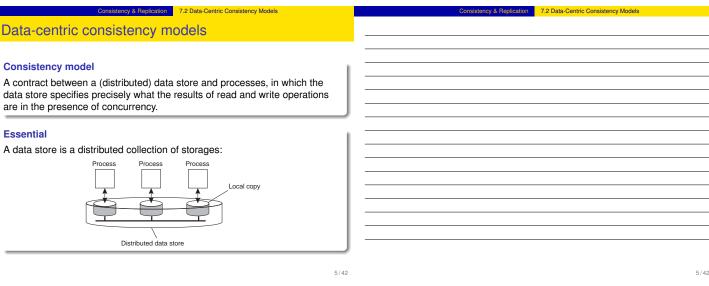
- Read-write conflict: a read operation and a write operation act concurrently
- Write-write conflict: two concurrent write operations

#### Issue

Guaranteeing global ordering on conflicting operations may be a costly operation, downgrading scalability Solution: weaken consistency requirements so that hopefully global synchronization can be avoided

4/42

cy & Replication



4/42

#### **Continuous Consistency**

#### Observation

We can actually talk a about a degree of consistency:

- replicas may differ in their numerical value
- replicas may differ in their relative staleness
- there may be differences with respect to (number and order) of performed update operations

7.2 Data-Centric Consistency Models

#### Conit

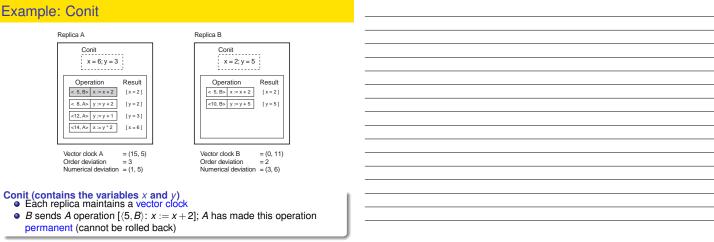
Consistency unit  $\Rightarrow$  specifies the data unit over which consistency is to be measured.

7.2 Data-Centric Consistency Models

sistency & Replication

#### **Example:** Conit

nsistency & Replication 7.2 Data-Centric Consistency Models



ency & Replication 7.2 Data-Centric Consistency Models

sistency & Replication 7.2 Data-Centric Consistency Models

7/42

#### sistency & Replication 7.2 Data-Centric Consistency Models rcy & Replication 7.2 Data-Centric Consistency Models **Example: Conit** Replica A Replica B Conit Conit x = 6; y = 3 x = 2; y = 5 Operation Result Operation Result < 5, B> x := x + 2 [x = 2] < 5, B> x := x + 2 [x = 2] < 8, A> y := y + 2 [y=2] <10, B> y := y + 5 [ y = 5 ] <12, A> y := y + 1 [y=3] <14, A> x := y \* 2 [ x = 6 ] Vector clock A Order deviation = (15, 5) = 3 Vector clock B Order deviation = (0, 11) = 2 Numerical deviation = (1, 5) Numerical deviation = (3, 6) Conit (contains the variables x and y) ● A has three pending operations ⇒ order deviation = 3 • A has missed one operation from B, yielding a max diff of 5 units $\Rightarrow$ (1,5) 8/42 8/42

Sequential consistency

#### Definition

The result of any execution is the same as if the operations of all processes were executed in some sequential order, and the operations of each individual process appear in this sequence in the order specified by its program.

on

7.2 Data-Centric Consistency Models

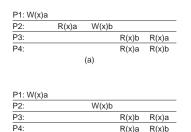
P1: W(	(x)a			P1: W	(x)a		
P2:	W(x)b			P2:	W(x)b		
P3:		R(x)b	R(x)a	P3:		R(x)b	R(x)a
P4:		R(x	)b R(x)a	P4:		R(>	()a R(x)b
		(a)				(b)	

#### Causal consistency

#### Definition

Writes that are potentially causally related must be seen by all processes in the same order. Concurrent writes may be seen in a different order by different processes.

istency & Replication 7.2 Data-Centric Consistency Models



(b)

7.2 Data-Centric Cons

7.2 Data-Centric Consistency Model

cv & Replication

10/42

10/42

# Grouping operations

#### Definition

• Accesses to synchronization variables are sequentially consistent.

ncy & Replication

7.2 Data-Centric Consistency Models

- No access to a synchronization variable is allowed to be performed until all previous writes have completed everywhere.
- No data access is allowed to be performed until all previous accesses to synchronization variables have been performed.

#### **Basic idea**

You don't care that reads and writes of a series of operations are immediately known to other processes. You just want the effect of the series itself to be known.

11/42

# 11/42

ation 7.2 Data-Centric Consistency Models 7.2 Data-Centric Consistency Models Grouping operations P1: Acq(Lx) W(x)a Acq(Ly) W(y)b Rel(Lx) Rel(Ly) P2: Acq(Lx) R(x)a R(y) NIL P3: Acq(Ly) R(y)b Observation Weak consistency implies that we need to lock and unlock data (implicitly or not). Question What would be a convenient way of making this consistency more or less transparent to programmers?

# Consistency & Replication 7.3 Client-Centric Consistency Models

#### **Overview**

- System model
- Monotonic reads
- Monotonic writes
- Read-your-writes
- Write-follows-reads

#### Goal

Show how we can perhaps avoid systemwide consistency, by concentrating on what specific clients want, instead of what should be maintained by servers.

-
 -

onsistency & Replication 7.3 Client-Centric Consistency Models

Consistency & Replication 7.3 Client-Centric Consistency Models

Consistency & Replication 7.3 Client-Centric Consistency Models

13/42

### Consistency for mobile users

#### Example

Consider a distributed database to which you have access through your notebook. Assume your notebook acts as a front end to the database.

• At location A you access the database doing reads and updates.

Consistency & Replication 7.3 Client-Centric Consistency Models

- At location *B* you continue your work, but unless you access the same server as the one at location *A*, you may detect inconsistencies:
  - your updates at A may not have yet been propagated to B
  - you may be reading newer entries than the ones available at A

ency & Replication 7.3 Client-Centric Consistency Models

• your updates at *B* may eventually conflict with those at *A* 

14/42

14/42

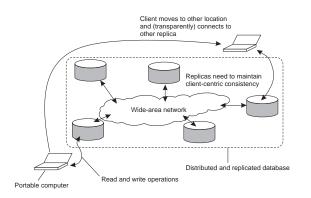
13/42

Consistency for mobile users

#### Note

The only thing you really want is that the entries you updated and/or read at A, are in B the way you left them in A. In that case, the database will appear to be consistent to you.

#### **Basic architecture**



onsistency & Replication 7.3 Client-Centric Consistency Models

stency & Replication 7.3 Client-Centric Consistency Models

Consistency & Replication 7.3 Client-Centric Consistency Models

Consistency & Replication 7.3 Client-Centric Consistency Models

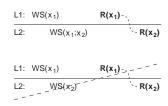
16/42

# Monotonic reads

#### Definition

If a process reads the value of a data item x, any successive read operation on x by that process will always return that same or a more recent value.

ency & Replication 7.3 Client-Centric Consistency Models



17/42

# 17/42

16/42

Client-centric consistency: notation

#### Notation

• *WS*(*x*<sub>i</sub>[*t*]) is the set of write operations (at *L*<sub>i</sub>) that lead to version *x*<sub>i</sub> of *x* (at time *t*)

ency & Replication 7.3 Client-Centric Consistency Models

- *WS*(*x<sub>i</sub>*[*t*<sub>1</sub>]; *x<sub>j</sub>*[*t*<sub>2</sub>]) indicates that it is known that *WS*(*x<sub>i</sub>*[*t*<sub>1</sub>]) is part of *WS*(*x<sub>j</sub>*[*t*<sub>2</sub>]).
- Note: Parameter *t* is omitted from figures.

#### Example

Automatically reading your personal calendar updates from different servers. Monotonic Reads guarantees that the user sees all updates, no matter from which server the automatic reading takes place.

tency & Replication 7.3 Client-Centric Consistency Models

#### Example

Reading (not modifying) incoming mail while you are on the move. Each time you connect to a different e-mail server, that server fetches (at least) all the updates from the server you previously visited.

istency & Replication 7.3 Client-Centric Consistency Models

Consistency & Replication 7.3 Client-Centric Consistency Models

tency & Replication 7.3 Client-Centric Consistency Models

19/42



#### Definition

A write operation by a process on a data item x is completed before any successive write operation on x by the same process.

> L1:  $W(x_1)$  .....  $W(x_2)$ L1:  $W(x_1)$  ....  $W(x_2)$ L2: ....  $W(x_1)$  ....  $W(x_2)$

> > 20/42

20/42

19/42

**Monotonic writes** 

#### Example

Updating a program at server  $S_2$ , and ensuring that all components on which compilation and linking depends, are also placed at  $S_2$ .

y & Replication

7.3 Client-Centric Consistency Models

#### Example

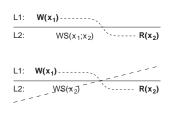
Maintaining versions of replicated files in the correct order everywhere (propagate the previous version to the server where the newest version is installed).

#### **Read your writes**

#### Definition

The effect of a write operation by a process on data item x, will always be seen by a successive read operation on x by the same process.

Consistency & Replication 7.3 Client-Centric Consistency Models



#### Example

Updating your Web page and guaranteeing that your Web browser shows the newest version instead of its cached copy.

Consistency & Replication 7.3 Client-Centric Consistency Models

Consistency & Replication 7.4 Replica Management

Consistency & Replication 7.3 Client-Centric Consistency Models

22/42

# Writes follow reads

#### Definition

A write operation by a process on a data item x following a previous read operation on x by the same process, is guaranteed to take place on the same or a more recent value of x that was read.

Consistency & Replication 7.3 Client-Centric Consistency Models

L1: \	VS(x <sub>1</sub> )	R(x <sub>1</sub> )-
L2:	$WS(x_1;x_2)$	- W(x <sub>2</sub> )
L1: \	VS(x1)	R(x <sub>1</sub> )-,
	WS(x_2)	- W(x <sub>2</sub> )

#### Example

7.4 Replica Management

ation

See reactions to posted articles only if you have the original posting (a read "pulls in" the corresponding write operation).

23/42

#### 23/42

22/42

#### **Distribution protocols**

- Replica server placement
- Content replication and placement
- Content distribution

#### **Replica placement**

#### Essence

Figure out what the best K places are out of N possible locations.

stency & Replication

 Select best location out of N – K for which the average distance to clients is minimal. Then choose the next best server. (Note: The first chosen location minimizes the average distance to all clients.) Computationally expensive.

7.4 Replica Management

- Select the *K*-th largest autonomous system and place a server at the best-connected host. Computationally expensive.
- Position nodes in a *d*-dimensional geometric space, where distance reflects latency. Identify the *K* regions with highest density and place a server in every one. Computationally cheap.


7.4 Replica Management

7.4 Replica Management

ency & Replication

25/42

Consistency & Replication 7.4 Replica Management

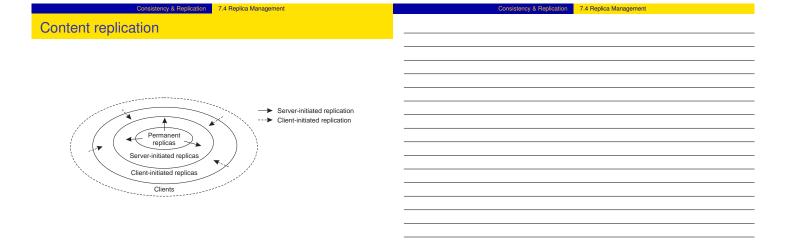
#### **Distinguish different processes**

A process is capable of hosting a replica of an object or data:

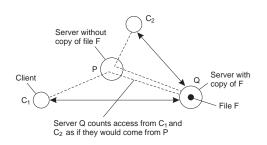
- Permanent replicas: Process/machine always having a replica
- Server-initiated replica: Process that can dynamically host a replica on request of another server in the data store
- Client-initiated replica: Process that can dynamically host a replica on request of a client (client cache)

26/42

26/42



### Server-initiated replicas



7.4 Replica Management

- Keep track of access counts per file, aggregated by considering server closest to requesting clients
- Number of accesses drops below threshold  $D \Rightarrow$  drop file
- Number of accesses exceeds threshold  $R \Rightarrow$  replicate file
- Number of access between D and  $R \Rightarrow$  migrate file

Consistency & Replication

stency & Replication 7.4 Replica Management

Model

**Content distribution** 

- Consider only a client-server combination:
  - Propagate only notification/invalidation of update (often used for caches)
  - Transfer data from one copy to another (distributed databases)
    Propagate the update *operation* to other copies (also called active
  - replication)

#### Note

No single approach is the best, but depends highly on available bandwidth and read-to-write ratio at replicas.

29/42

28/42

## Content distribution

• Pushing updates: server-initiated approach, in which update is propagated regardless whether target asked for it.

7.4 Replica Managemen

 Pulling updates: client-initiated approach, in which client requests to be updated.

Issue	Push-based	Pull-based		
1:	List of client caches	None		
2:	Update (and possibly fetch update)	Poll and update		
3:	Immediate (or fetch-update time) Fetch-update tim			
1: State at server				
2: Messages to be exchanged				
3: Response time at the client				

29/42

#### Consistency & Replication 7.4 Replica Management

cv & Replication

7.4 Replica Manageme

#### Observation

We can dynamically switch between pulling and pushing using leases: A contract in which the server promises to push updates to the client until the lease expires.

Consistency & Replication 7.4 Replica Management

Consistency & Replication 7.4 Replica Management	Consistency & Replication 7.4 Replica Management
Content distribution	
Issue	
Make lease expiration time dependent on system's behavior (adaptive	
leases):	
• Age-based leases: An object that hasn't changed for a long time, will not	
change in the near future, so provide a long-lasting lease	
Renewal-frequency based leases: The more often a client requests a	
specific object, the longer the expiration time for that client (for that	
object) will be	
• State-based leases: The more loaded a server is, the shorter the	
expiration times become	
Question	
Why are we doing all this?	

31/42

Consistency & Replication 7.4 Replica Management

Consistency & Replication 7.5 Consistency Protocols

32/42

Consistency protocols

#### **Consistency protocol**

Describes the implementation of a specific consistency model.

tion 7.5 Consistency Protocols

- Continuous consistency
- Primary-based protocols
- Replicated-write protocols

31/42

# Continuous consistency: Numerical errors

#### **Principal operation**

Consider a data item x and let weight(W) denote the numerical change in its value after a write operation W. Assume that  $\forall W : weight(W) > 0$ .

*W* is initially forwarded to one of the *N* replicas, denoted as origin(W). *TW*[*i*,*j*] are the writes executed by server *S<sub>i</sub>* that originated from *S<sub>j</sub>*:

stency & Replication 7.5 Consistency Protocols

 $TW[i,j] = \sum \{weight(W) | origin(W) = S_j \& W \in log(S_i) \}$ 

Consistency & Replication 7.5 Consistency Protocols

7.5 Consistency Proto

cv & Replication

stency & Replication

7.5 Consistency Protocols

34/42

Continuous consistency: Numerical errors

#### Note

Actual value v(t) of x:

$$v(t) = v_{init} + \sum_{k=1}^{N} TW[k,k]$$

value  $v_i$  of x at replica *i*:

$$v_i = v_{init} + \sum_{k=1}^{N} TW[i,k]$$

#### Approach

Let every server  $S_k$  maintain a view  $TW_k[i,j]$  of what it believes is the value of TW[i,j]. This information can be gossiped when an update is propagated.

#### Note

 $0 \leq TW_k[i,j] \leq TW[i,j] \leq TW[j,j]$ 

ency & Replication

#### Solution

 $S_k$  sends operations from its log to  $S_i$  when it sees that  $TW_k[i, k]$  is getting too far from TW[k, k], in particular, when  $TW[k, k] - TW_k[i, k] > \delta_i/(N-1)$ .

7.5 Consistency Protocols

#### Note

Staleness can be done analogously, by essentially keeping track of what has been seen last from  $S_i$  (see book).

Consistency & Replication 7.5 Consistency Protocols

7.5 Consi

cy & Replication

stency & Replication

7.5 Consistency Proto

ency Protocols

7.5 Consistency Prot

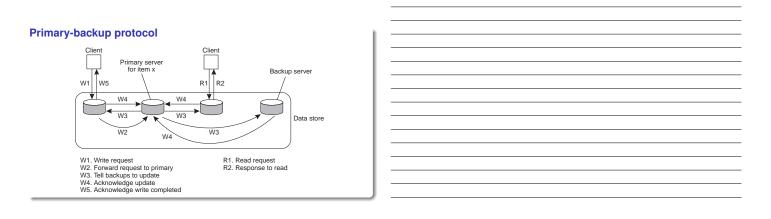
cy & Replication

37/42

\_\_\_\_

\_\_\_\_

# Primary-based protocols



38/42

38/42

37/42

# Primary-based protocols

#### Example primary-backup protocol

Traditionally applied in distributed databases and file systems that require a high degree of fault tolerance. Replicas are often placed on same LAN.

7.5 Consistency Protocols

#### Primary-based protocols

#### Primary-backup protocol with local writes Client Clien New primary for item x Old primary for item x Backup server R1 R2 W1 W3 ¥ ¥ W5 W5 W4 W4 Data store W5 w W4 W1. Write request W2. Move item x to new primary W3. Acknowledge write completed W4. Tell backups to update W5. Acknowledge update R1. Read request R2. Response to read

ency & Replication 7.5 Consistency Protocols

tency & Replication

7.5 Co

cy & Replication

7.5 Consistency Protoc

40/42

# 

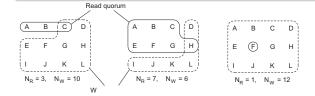
41/42

Replicated-write protocols

# Quorum-based protocols

Ensure that each operation is carried out in such a way that a majority vote is established: distinguish read quorum and write quorum:

7.5 Consistency Protocols



required:  $N_R + N_W > N$  and  $N_W > N/2$ 

42/42