#### More SQL

Extended Relational Algebra
Outerjoins, Grouping/Aggregation

#### The Extended Algebra

 $\delta$  = eliminate duplicates from bags.

T =sort tuples.

 $\gamma$  = grouping and aggregation.

Outerjoin: avoids "dangling tuples" = tuples that do not join with anything.

#### **Duplicate Elimination**

- $ightharpoonup R1 := \delta(R2).$
- R1 consists of one copy of each tuple that appears in R2 one or more times.

#### **Example:** Duplicate Elimination

$\delta(R) =$	Α	В
	1	2
	3	4

#### Sorting

- $ightharpoonup R1 := T_{L}(R2).$ 
  - L is a list of some of the attributes of R2.
- ◆R1 is the list of tuples of R2 sorted first on the value of the first attribute on L, then on the second attribute of L, and so on.
  - Break ties arbitrarily.
- T is the only operator whose result is neither a set nor a bag.

#### **Example:** Sorting

$$T_B(R) = [(5,2), (1,2), (3,4)]$$

#### **Aggregation Operators**

- Aggregation operators are not operators of relational algebra.
- Rather, they apply to entire columns of a table and produce a single result.
- The most important examples: SUM, AVG, COUNT, MIN, and MAX.

### **Example:** Aggregation

$$SUM(A) = 7$$
  
 $COUNT(A) = 3$   
 $MAX(B) = 4$   
 $AVG(B) = 3$ 

#### **Grouping Operator**

- R1 :=  $\gamma_L$  (R2). L is a list of elements that are either:
  - 1. Individual (*grouping* ) attributes.
  - 2. AGG(A), where AGG is one of the aggregation operators and A is an attribute.
    - An arrow and a new attribute name renames the component.

### Applying $\gamma_{L}(R)$

- Group R according to all the grouping attributes on list L.
  - That is: form one group for each distinct list of values for those attributes in R.
- Within each group, compute AGG(A) for each aggregation on list L.
- Result has one tuple for each group:
  - The grouping attributes and
  - 2. Their group's aggregations.

#### **Example:** Grouping/Aggregation

$$Y_{A,B,AVG(C)->X}(R) = ??$$

First, group R by A and B:

Α	В	С
1	2	3
1	2	5
4	5	6

Then, average *C* within groups:

Α	В	X
1	2	4
4	5	6

#### Outerjoin

- $\bullet$ Suppose we join  $R \bowtie_{\mathcal{C}} S$ .
- ◆A tuple of R that has no tuple of S with which it joins is said to be dangling.
  - Similarly for a tuple of S.
- Outerjoin preserves dangling tuples by padding them NULL.

#### **Example: Outerjoin**

(1,2) joins with (2,3), but the other two tuples are dangling.

R OUTER JOIN 
$$S =$$

Α	В	С
1	2	3
4	5	NULL
NULL	6	7

#### Now --- Back to SQL

Each Operation Has a SQL Equivalent

#### Outerjoins

- R OUTER JOIN S is the core of an outerjoin expression. It is modified by:
  - 1. Optional NATURAL in front of OUTER.
  - 2. Optional ON <condition> after JOIN.
  - Optional LEFT, RIGHT, or FULL before OUTER.
    - LEFT = pad dangling tuples of R only.
    - RIGHT = pad dangling tuples of S only.
    - FULL = pad both; this choice is the default.

Only one

of these

#### Aggregations

- SUM, AVG, COUNT, MIN, and MAX can be applied to a column in a SELECT clause to produce that aggregation on the column.
- Also, COUNT(\*) counts the number of tuples.

#### **Example: Aggregation**

From Sells(bar, beer, price), find the average price of Bud:

```
SELECT AVG(price)
FROM Sells
WHERE beer = 'Bud';
```

# Eliminating Duplicates in an Aggregation

- Use DISTINCT inside an aggregation.
- Example: find the number of different prices charged for Bud:

```
SELECT COUNT(DISTINCT price)
FROM Sells
WHERE beer = 'Bud';
```

#### NULL's Ignored in Aggregation

- NULL never contributes to a sum, average, or count, and can never be the minimum or maximum of a column.
- But if there are no non-NULL values in a column, then the result of the aggregation is NULL.
  - Exception: COUNT of an empty set is 0.

#### Example: Effect of NULL's

SELECT count(\*)
FROM Sells

WHERE beer = 'Bud';

The number of bars that sell Bud.

SELECT count(price)
FROM Sells
WHERE beer = 'Bud';

The number of bars that sell Bud at a known price.

#### Grouping

- We may follow a SELECT-FROM-WHERE expression by GROUP BY and a list of attributes.
- The relation that results from the SELECT-FROM-WHERE is grouped according to the values of all those attributes, and any aggregation is applied only within each group.

#### **Example:** Grouping

From Sells(bar, beer, price), find the average price for each beer:

```
SELECT beer, AVG(price)
FROM Sells
GROUP BY beer;
```

beer	AVG(price)
Bud	2.33

#### **Example:** Grouping

◆From Sells(bar, beer, price) and Frequents(drinker, bar), find for each drinker the average price of Bud at the bars they frequent:

SELECT drinker, AVG(price)

FROM Frequents, Sells
WHERE beer = 'Bud' AND
Frequents.bar = Sells.bar

GROUP BY drinker;

Compute all drinker-bar-price triples for Bud.

Then group them by drinker.

# Restriction on SELECT Lists With Aggregation

- If any aggregation is used, then each element of the SELECT list must be either:
  - Aggregated, or
  - 2. An attribute on the GROUP BY list.

#### Illegal Query Example

You might think you could find the bar that sells Bud the cheapest by:

```
SELECT bar, MIN(price)
FROM Sells
WHERE beer = 'Bud';
```

◆But this query is illegal in SQL.

#### **HAVING Clauses**

- HAVING < condition > may follow a GROUP BY clause.
- ◆ If so, the condition applies to each group, and groups not satisfying the condition are eliminated.

#### Example: HAVING

◆From Sells(bar, beer, price) and Beers(name, manf), find the average price of those beers that are either served in at least three bars or are manufactured by Pete's.

#### Solution

SELECT beer, AVG(price) FROM Sells **GROUP BY beer** 

Beer groups with at least 3 non-NULL bars and also beer groups where the manufacturer is Pete's.

HAVING COUNT(bar) >= 3 OR

beer IN (SELECT name

**FROM Beers** 

WHERE manf = 'Pete''s');

Beers manufactured by Pete's.

## Requirements on HAVING Conditions

- Anything goes in a subquery.
- Outside subqueries, they may refer to attributes only if they are either:
  - 1. A grouping attribute, or
  - Aggregated
     (same condition as for SELECT clauses with aggregation).